

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ACI 88W  
2164

Inventor: Chefalas et al.

Serial No.: 09/866,251

Filed: May 25, 2001

For: Method and Apparatus for  
Performing the Identification of Files  
to be Backed Up Using Relational  
Meta Data

35526

PATENT TRADEMARK OFFICE  
CUSTOMER NUMBER

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Group Art Unit: 2164

Examiner: Channavajjala, Srirama T.

Attorney Docket No.: YOR920010343US1

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

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By:

*Dell Whitton*  
Dell Whitton

TRANSMITTAL DOCUMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AA-AJ; and
- Our return postcard.

A fee in the amount of \$180.00 is required, please charge this fee to Deposit Account No. 50-0510. No additional fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 50-0510. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 50-0510.

Respectfully submitted,

*Duke W. Yee*  
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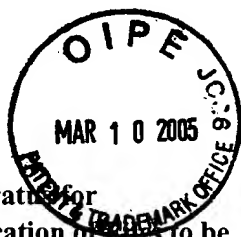
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In re application of: **Chefalas et al.**

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§ Group Art Unit: 2164  
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§ Examiner: **Channavajjala, Srirama T.**  
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§ Attorney Docket No.: **YOR920010343US1**  
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. 1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 50-0510. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 50-0510.

In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Supplemental

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Information Disclosure Statement shall not be construed to mean that a search has been made.

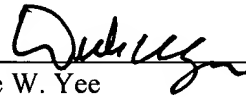
This Information Disclosure Statement is being filed after the mailing date of a final action or a notice of allowance, on or before the payment of the issue fee.

No item of information cited in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

Please charge the required fee of \$180.00 as set forth in § 1.17(p) to Deposit Account No. 50-0510.

Respectfully submitted,

Date: March 8, 2005

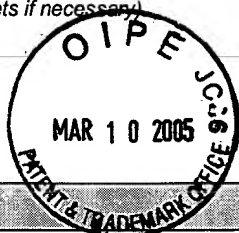


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Form PTO-1449

LIST OF PRIOR ART CITED  
BY APPLICANT

(Use several sheets if necessary)

ATTORNEY DOCKET NO.  
YOR920010343US1SERIAL NO.  
09/866,251APPLICANT **Chefalas et al.**FILING DATE **May 25, 2001**GROUP ART UNIT **2164**

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
	AA 6,185,574 B1	Feb. 6, 2001	Howard et al.	707/200	Nov. 26, 1997
	AB 6,742,035 B1	May 25, 2004	Zayas et al.	709/226	Feb. 28, 2000
	AC 6,639,615 B1	Oct. 28, 2003	Majumdar et al.	345/839	May 28, 1999
	AD 6,804,680 B2	Oct. 12, 2004	Melli	707/102	Feb. 9, 2001
	AE 6,351,741 B1	Feb. 26, 2002	Flenniken	707/2	May 7, 1999
	AF 5,504,852	Apr. 2, 1996	Thompson-Rohrlich	395/159	Mar. 2, 1995

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
	AG EP1455276A1	Sep. 8, 2004	EPO	G06F 11/14	<input type="checkbox"/> <input checked="" type="checkbox"/>

## OTHER PRIOR ART (including author, title, date, pertinent page, etc.)

AH	Christensen et al., "Accessing Remote Filesystems as Autonomous Information Sources", Published March 2000, pp. 1-4.				
AI	Lei et al., "An Analytical Approach to File Prefetching", 1997 USENIX Annual Technical Conference, 14 pages.				
AJ	Mutka et al., "Managing Personal Files Across Independent File Management Units", Distributed Computing Systems, Proceeding Third Workshop on Future Trends, 1992, pp. 254-261.				

## RELATED PATENT APPLICATIONS

EXAMINER INITIAL	APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE

DATE CONSIDERED

EXAMINER

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.